

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.USDIQ.QOV

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/835,106

04/13/2001

Dan A. Steinberg

ACT.008

CONFIRMATION NO. 4209

FORMALITIES LETTER

OC00000006162184

JONES VOLENTINE, L.L.C. Suite 150 12200 Sunrise Valley Drive Reston, VA 20191

Date Mailed: 06/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$206.
 - \$126 for 14 total claims over 20.
 - \$80 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 626.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

-07/03/2001 NBELETE1-00000035-500220 09835106

-0: FC-201

355.00 CH

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

				SERGO			
	Response To I Filing Da	Docket No. ACT.008					
	Re Application Of: A. Steinberg, et al.	JUN 2 8 2001	t				
	Serial No. 09/835,106	04/13/2001	Examiner	Group Art Unit			
Inve		04/13/2001	Unknown	2874			
	TICAL WAVEGUIDE	E SWITCH	,				
			MMISSIONER FOR PATENTS:				
			lissing Parts				
	06/08/2001 Date		Application - Filing Date Granted (F	PTO-1533) mailed on			
Enclo	used herewith for filing	ling are the following:					
K			cation - Filing Date Granted (PTO-				
X							
×	A properly signed Application Number	l oath or declaration in compliand er and Filing Date.	ce with 37 CFR 1.63, identifying th	ne application by the above			
	An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.						
	A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.						
		verified small entity declaration((s)				
	is/are attached						
	☐ was/were filed	d on	-				
<u> </u>	A separate request	st for refund.					
	Other (list):						
	·						

Response To Filing D	on .	Docket No. ACT.008						
In Re Application Of: Dan A. Steinberg, et al.								
Serial No.	7RADEHing Date 04/13/2001	Ex	kaminer	Group Ar	oup Art Unit			
09/835,106	04/13/2001	Un	known	2874	2874			
Invention:			-					
OPTICAL WAVEGUID	E SWITCH							
	TO THE ACCIONAL	NT COMMISSIONER	OD DATENTO.					
	•	NT COMMISSIONER F						
Attention: Manager, Application Branch								
	plication fees as calculate	d below:						
Utility applic	ation filing fee				\$355.00			
☐ Design appl	ication filing fee							
☑ Total number	er of independent claims =	5		•	\$80.00			
☑ Total number	er of claims = 34				\$126.00			
☐ Multiple dep	endent claims							
Surcharge for the state of the stat	Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00							
☐ Petition and	☐ Petition and fee for filing by other than all the inventors or a person not the inventor							
☐ Fee for proc	☐ Fee for processing an application filed with a non-English language specification							
☐ Fee for processing and retention of application								
		Total co	ompletion of applicat	ion fees	\$626.00			
above-identified Notice	der the provisions of 37 to File Missing Parts of A al time extension is require	application. The reque	sted extension is as	•				
☐ One month	☐ Two months	☐ Three months	☐ Four months	☐ Five mo	onths			
from:		until:						
	Date		Date					
			Total time extens	sion fees				
			Total	fees due	\$626.00			

Response To N Filing Da	Of Application all Entity)	Docket No. ACT.008					
In Re Application Of: Dan A. Steinberg, et al.	JUN 2 8 5001						
Serial No. Filing Date 09/835,106 04/13/2001		Examiner Unknown	Group Art Unit 2874				
Invention:							
OPTICAL WAVEGUIDE	SWITCH						
	TO THE ASSISTANT COM	IMISSIONER FOR PATENTS:	· · · · · · · · · · · · · · · · · · ·				
		er, Application Branch					
 The fee of \$626.00 is to be paid as follows: □ A check in the amount of the fee is enclosed. □ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0238 A duplicate copy of this sheet is enclosed. □ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0238 A duplicate copy of this sheet is enclosed. 							
William S. Francos, Esq. (Reg. No. 38,456)							
JONES VOLENTINE, L.L 12200 SUNRISE VALLEY SUITE 150 RESTON, VA 20191 Telephone: (703) 715-0870	DRIVE	on first class mail under 37 (first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C.				
		Signature of Perso	on Mailing Correspondence				
CC:							
		Typed or Printed Name of	Typed or Printed Name of Person Mailing Correspondence				